



# Australian Government Information Security Manual

APRIL 2019

## Guidelines for authorising systems

### Authorising systems

#### Authorising officers

For TOP SECRET systems the authorising officer is Director-General Australian Signals Directorate (ASD), or their delegate.

For SECRET and below systems the authorising officer should be an organisation's Chief Information Security Officer (CISO), or their delegate. Alternatively, an organisation's Chief Security Officer (CSO), or their delegate, may be used.

For systems that process, store or communicate sensitive compartmented information the authorising officer is Director-General ASD, or their delegate.

For multinational and multi-organisation systems the authorising officer should be determined by a formal agreement between the parties involved.

For commercial providers providing services to organisations the authorising officer is the CISO of the supported organisation, or their delegate. Alternatively, an organisation's CSO, or their delegate, may be used.

In all cases, the authorising officer should have an appropriate level of seniority and understanding of security risks they are accepting on behalf of the organisation.

#### Authorising systems to operate

Before a system is authorised to operate, an authorising officer formally accepts the security risks associated with its operation. In some cases however, security risks may be inadequately identified and/or security controls may be inadequately implemented. In such cases, the authorising officer may request further work be undertaken by the system owner. In the intervening time, the authorising officer may choose to authorise a system to operate for an interim period with caveats placed on its use.

**Security Control: 0064; Revision: 7; Updated: Sep-18; Applicability: O, P, S, TS; Priority: Must**

*Security risks associated with the operation of a system are determined by a security assessment, and formally accepted by an authorising officer, before the system is authorised to operate.*

#### Ongoing security risk management and monitoring

Regular monitoring of cyber threats, security risks and security controls associated with a system is beneficial in maintaining the security posture of the system; however, specific events may necessitate the system undergoing another security assessment before being authorised to continue operating. These may include:

- changes in security policies relating to the system
- detection of new or emerging cyber threats to the system
- the discovery that security controls for the system are not as effective as planned
- a major cyber security incident involving the system
- major architectural changes to the system.

**Security Control: 0809; Revision: 3; Updated: Jan-19; Applicability: O, P, S, TS; Priority: Must**

*When a change to a system or its environment impacts the security posture of the system, security risks associated with the operation of the system are determined by a security assessment, and formally accepted by an authorising officer, before the system is authorised to continue operating.*

## Conducting security assessments

### Assessors

Security assessments for TOP SECRET systems can be undertaken by Australian Cyber Security Centre (ACSC) assessors or Information Security Registered Assessors Program (IRAP) assessors.

Security assessments for SECRET and below systems can be undertaken by an organisation's own assessors or IRAP assessors.

In all cases, assessors should hold an appropriate security clearance and have an appropriate level of experience and understanding of the security controls and security risks they are assessing.

### Security assessments

The purpose of a security assessment is to determine whether security controls for a system have been appropriately identified, implemented and are operating effectively. In conducting a security assessment, it is important that the system owner is aware of the extent of any testing that assessors may undertake in order to manage any risks associated with such activities.

When an assessor is engaged early in a system's development lifecycle, it may be beneficial to perform the security assessment in two phases. Initially to assess the selection and documentation of security controls for the system, and subsequently to assess their implementation. This allows for the identification of security risks earlier in the system's development lifecycle, thereby assisting to reduce the costs associated with any remediation activities.

**Security Control: 0904; Revision: 5; Updated: Sep-18; Applicability: O, P, S, TS; Priority: Must**

*Prior to the beginning of a security assessment, the system owner develops a Statement of Applicability (SoA) for their system which identifies the security controls that they have chosen to implement.*

**Security Control: 1531; Revision: 0; Updated: Sep-18; Applicability: O, P, S, TS; Priority: Should**

*Prior to the beginning of a security assessment, a test plan is developed by assessors in consultation with the system owner.*

**Security Control: 0805; Revision: 3; Updated: Sep-18; Applicability: O, P, S, TS; Priority: Must**

*During a security assessment, the system is reviewed by assessors to determine whether security controls in the SoA are appropriate and have been implemented and are operating effectively.*

**Security Control: 1140; Revision: 2; Updated: Sep-18; Applicability: O, P, S, TS; Priority: Must**

*At the conclusion of a security assessment, a security assessment report is produced outlining the effectiveness of the implementation of security controls, the system's strengths and weaknesses, any recommended remediation activities, and an assessment of security risks associated with the operation of the system.*

## Gateway and cloud services

Commercial and government gateway and cloud services selected by the ACSC will need to undergo regular security assessments to determine their security posture and security risks associated with their use.

***Security Control: 0100; Revision: 8; Updated: Sep-18; Applicability: O, P; Priority: Must***

*Commercial and government gateway and cloud services selected by the ACSC undergo a joint security assessment by ACSC and IRAP assessors at least every two years.*

## Further information

The IRAP website lists the range of activities IRAP assessors are authorised to perform. This information is available at <https://www.cyber.gov.au/programs/information-security-registered-assessors-program-irap>.